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*Lyman O. Nielson*  
Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/733,853  
Applicant : Lyman O. Nielson et al.  
Title : LOW-VOLTAGE LIGHTING APPARATUS FOR  
SATISFYING AFTER-HOURS LIGHTING  
REQUIREMENTS, EMERGENCY LIGHTING  
REQUIREMENTS, AND LOW LIGHT REQUIREMENTS  
Filed : December 11, 2003  
TC/A.U. : (not yet assigned)  
Examiner : (not yet assigned)  
Docket No. : 3358.2.1  
Customer No. : 21552

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

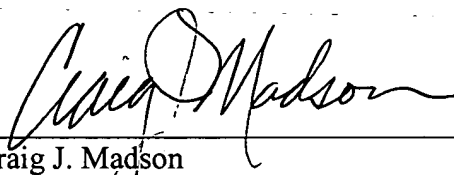
Accordingly, we are not enclosing copies of the references.

In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

1. A completed copy of Forms PTO/SB/08a and PTO/SB08b "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Forms PTO/SB/08a and PTO/SB/08b (for applications filed after June 30, 2003), except for copies of U.S. patents and published U.S. patent applications which are not required for applications filed after June 30, 2003.

As this application was filed after June 30, 2003, copies of the U.S. patents and published U.S. patent applications listed on the enclosed Form PTO/SB/08a are not required and, therefore, not included herewith.

Respectfully submitted,



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Attorney for Applicant(s)

Date: March 22, 2004

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PTO/SB/08a (08-03)

Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 Of 1

**Complete if Known**

Application Number	10/733,853
Filing Date	December 11, 2003
First Named Inventor	Lyman O. Nielson
Group Art Unit	
Examiner Name	
Attorney Docket Number	3358.2.1

**U.S. PATENT DOCUMENTS**

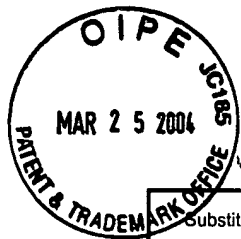
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	U1	US-4,255,746	Mar. 10, 1981	Johnson et al.	
	U2	US-4,419,658	Dec. 6, 1983	Jarosz et al.	
	U3	US-4,454,452	Jun. 12, 1984	Feldstein	
	U4	US-4,617,561	Oct. 14, 1986	Brown	
	U5	US-D290,406	Jun. 16, 1987	Brown	
	U6	US-4,945,280	Jul. 31, 1990	Beghelli	
	U7	US-4,977,351	Dec. 11, 1990	Bavaro et al.	
	U8	US-5,130,909	Jul. 14, 1992	Gross	
	U9	US-5,146,209	Sep. 8, 1992	Beghelli	
	U10	US-5,145,247	Sep. 8, 1992	Mandy	
	U11	US-5,365,145	Nov. 15, 1994	Fields	
	U12	US-5,416,384	May 16, 1995	Bavaro	
	U13	US-5,587,705	Dec. 24, 1996	Morris	
	U14	US-5,731,759	Mar. 24, 1998	Finucan	
	U15	US-5,739,639	Apr. 14, 1998	Johnson	
	U16	US-5,786,767	Jul. 28, 1998	Severino	
	U17	US-5,936,532	Aug. 10, 1999	Peralta	
	U18	US-6,133,839	Oct. 17, 2000	Ellul, Jr. et al.	
	U19	US-6,249,221	Jun. 19, 2001	Reed	
	U20	US-6,384,724	May 7, 2002	Landais	
	U21	US-6,420,973	Jul. 16, 2002	Acevedo	
	U22	US-6,609,804	Aug. 26, 2003	Nolan et al.	
	U23	US-6,624,750	Sep. 23, 2003	Marman et al.	

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b (08-03)

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
		Application Number	10/733,853
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	December 11, 2003
		First Named Inventor	Lyman O. Nielson
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	3358.2.1
Sheet	1	Of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	O1	ARPAD A. BERGH, "A View on The Future of Solid State Lighting"	
	O2	"Egress Aisles – Exit Signs – Egress Lighting Requirements"	
	O3	"Down Light / Night Light Strip"	
	O4	"Super Energy Efficient Solid-State Egress/Night Lighting"	
	O5	"GTD Generator Transfer Device For Generator-Supplied Lighting"	
	O6	"LED Light Strip Illuminator Module"	
	O7	STEVE JOHNSON, "The Solid State Lighting Initiative: An Industry/DOE Collaborative Effort," Architectural Lighting, Nov./Dec. 2000, pp. 1-5	
	O8	"Surviv Lite Emergency Egress Lighting"	
	O9	MARK KENDALL and MICHAEL SCHOLAND, "Energy Savings Potential of Solid State Lighting in General Lighting Applications," April 2001	
	O10	"The LED Advantage"	
	O11	"Homeowners Insurance – Rising Cost of Homeowners Insurance"	
	O12	"School, college, and university dormitory, fraternity, and sorority house fires"	
	O13	"Light Emitting Diodes for Lighting Applications"	
	O14	"Super Energy Efficient Solid-State Egress/Night Lighting"	
	O15	"Kidde Ionization Smoke Alarm w/ Exit Light"	
	O16	"3 in 1 LED Emergency Light"	
	O17	"PowerFailure Night Light," User's Manual	
	O18	"Gas and Smoke Detector – ESM-768 Semiconductor / Ionisation Technology"	
	O19	"SA358: Smoke Alarm with Emergency Light"	
	O20	"Sage Lighting Ltd. LED Lighting Products"	

Examiner Signature		Date Considered	
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